

Recitation 1

Viterbi Decoding & HW1

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Introduction

Named Entity Recognition

| | | | | | | | |
|-------|---------|--------|------|----|---------|---------|------|
| I-ORG | O | I-MISC | O | O | O | I-MISC | O |
| EU | rejects | German | call | to | boycott | British | lamb |

HW Goals

- How to extract features, and estimate scores using a linear transform

- How to extract features, and estimate scores using a linear transform
- Use the scores as a metric for Viterbi Decoding

Decoding

Mathematical Formulation

Let $s_1, \dots, s_n \in \Omega$ and $w_1, \dots, w_n \in \Sigma$.

$$\text{score}_0 = \gamma(s_1 | \langle \text{START} \rangle) \eta(w_1 | s_1) \quad (1)$$

$$\text{score}_n = \max_{s_n} \gamma(s_n | s_{n-1}) \eta(w_n | s_n) \text{score}_{n-1} \quad (2)$$

$$= \max_{s_1, \dots, s_n} \prod_{i=1}^n \gamma(s_i | s_{i-1}) \eta(w_i | s_i) \quad (3)$$

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$$\gamma(s_i | s_{i-1}) \eta(w_i | s_i) \approx \exp(\mathbf{W}^T g(w_i, w_{i-1}, w_{i+1}, s_i, s_{i-1}))$$

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$$\text{score}_n = \max_{s_1, \dots, s_n} \prod_{i=1}^n \exp(\mathbf{W}^T g(w_i, w_{i-1}, w_{i+1}, s_i, s_{i-1})) \quad (4)$$

$$\log(\text{score}_n) = \max_{s_1, \dots, s_n} \sum_{i=1}^n \mathbf{W}^T g(w_i, w_{i-1}, w_{i+1}, s_i, s_{i-1}) \quad (5)$$

$$\log(\text{score}_n) = \max_{s_n} \mathbf{W}^T g(w_n, w_{n-1}, w_{n+1}, s_n, s_{n-1}) + \log(\text{score}_{n-1}) \quad (6)$$

Feature Extraction

What are features and weights?

- **Features:** $g(w_i, w_{i-1}, w_{i+1}, s_i, s_{i-1}) = \sum_{\forall j} g_j$

The represent the occurrence of a certain set of patterns. For example:

$g_1(w_i, s_i)$: $P_i=NNP:T_i=I-LOC$ 1.0

$g_2(w_i, w_{i+1}, s_i)$: $W_i=France:W_{i+1}=and:T_i=I-LOC$ 1.0

$g_3(s_i, s_{i-1})$: $T_{i-1}=\langle START \rangle:T_i=I-LOC$

...

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...

- Weights **W** are proportional to the probability of the occurrence of the corresponding features. For example:

$O_i=rating:T_i=I-LOC$ -3.0

$O_i=october:T_{i-1}=0:T_i=0$ 10.0

$CA_{P_i}=False:T_i=0$ 50.0

$CA_{P_i}=False:T_i=0$ -31.0

How do we extract features?

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- For each i , features that depend on w_i can be deterministically estimated.

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- For each i , features that depend on w_i can be deterministically estimated.
- But, features involving s_i are a little more tricky. They must be estimated assuming that all possible states $s_j \in \Omega$ could have occurred and the best state is chosen based on scores for step $i - 1$

Example: How do we extract features?¹

EU NNP I-NP I-ORG
rejects VBZ I-VP 0
German JJ I-NP I-MISC
call NN I-NP 0
to TO I-VP 0
boycott VB I-VP 0
British JJ I-NP I-MISC
lamb NN I-NP 0

¹The chosen example does not reflect the views of the author but my laziness to go beyond the first sample in the data:P

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Consider the word *British*. The three of the many features would be:

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- $P_i = \text{JJ} : T_i = \langle \forall s_i \in \Omega \rangle 1.0$

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- $P_i = \text{JJ} : T_i = \langle \forall s_i \in \Omega \rangle 1.0$
- $W_i = \text{British} : W_{i+1} = \text{lamb} : T_i = \langle \forall s_i \in \Omega \rangle 1.0$

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- $W_i = \text{British} : W_{i+1} = \text{lamb} : T_i = \langle \forall s_j \in \Omega \rangle 1.0$
- $T_{i-1} = \langle \forall s_j \in \Omega \rangle : T_i = \langle \forall s_j \in \Omega \rangle 1.0$

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How do we estimate weights?

Estimation: Wait for a couple of weeks.

For this Assignment, we just need to use the given weights.

How do we estimate weights?

We use the extracted features and find the corresponding weights $\forall s_i, s_{i-1} \in \Omega$ and call this matrix **PScore**_{*i*}.

| s_i/s_{i-1} | O | I-PER | I-ORG | I-MISC | I-LOC | B-ORG | B-MISC | B-LOC |
|---------------|------|-------|-------|--------|-------|-------|--------|-------|
| O | 25.0 | 5.0 | 1.0 | -3.0 | -2.0 | -4.0 | -7.0 | -2.0 |
| I-PER | 6.0 | 23.0 | -9.0 | -7.0 | -15.0 | 0.0 | -1.0 | -1.0 |
| I-ORG | 2.0 | -16.0 | 32.0 | -9.0 | -13.0 | -1.0 | -1.0 | 0.0 |
| I-MISC | -4.0 | -9.0 | -11.0 | 23.0 | -2.0 | 0.0 | 6.0 | -1.0 |
| I-LOC | 2.0 | -4.0 | -17.0 | -8.0 | 25.0 | 0.0 | -1.0 | 2.0 |
| B-ORG | -2.0 | -2.0 | 2.0 | -1.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| B-MISC | -6.0 | -1.0 | -2.0 | 8.0 | -2.0 | 0.0 | 0.0 | 0.0 |
| B-LOC | -5.0 | -1.0 | -2.0 | -1.0 | 8.0 | 0.0 | 0.0 | 0.0 |

How do we estimate scores?

$s_1, \dots, s_n \in \Omega$ and $w_1, \dots, w_n \in \Sigma$.

| | s_{i-1} | s_i/s_{i-1} | O | I-PER | I-ORG | I-MISC | I-LOC | B-ORG | B-MISC | B-LOC |
|--------|--------------------|---------------|------|-------|-------|--------|-------|-------|--------|-------|
| O | Score $_{i-1}$ (1) | O | 25.0 | 5.0 | 1.0 | -3.0 | -2.0 | -4.0 | -7.0 | -2.0 |
| I-PER | Score $_{i-1}$ (2) | I-PER | 6.0 | 23.0 | -9.0 | -7.0 | -15.0 | 0.0 | -1.0 | -1.0 |
| I-ORG | Score $_{i-1}$ (3) | I-ORG | 2.0 | -16.0 | 32.0 | -9.0 | -13.0 | -1.0 | -1.0 | 0.0 |
| I-MISC | Score $_{i-1}$ (4) | I-MISC | -4.0 | -9.0 | -11.0 | 23.0 | -2.0 | 0.0 | 6.0 | -1.0 |
| I-LOC | Score $_{i-1}$ (5) | I-LOC | 2.0 | -4.0 | -17.0 | -8.0 | 25.0 | 0.0 | -1.0 | 2.0 |
| B-ORG | Score $_{i-1}$ (6) | B-ORG | -2.0 | -2.0 | 2.0 | -1.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| B-MISC | Score $_{i-1}$ (7) | B-MISC | -6.0 | -1.0 | -2.0 | 8.0 | -2.0 | 0.0 | 0.0 | 0.0 |
| B-LOC | Score $_{i-1}$ (8) | B-LOC | -5.0 | -1.0 | -2.0 | -1.0 | 8.0 | 0.0 | 0.0 | 0.0 |

Figure 1: Score $_{i-1}$ (Left) and PScore $_i$ (Right)

- For each i , estimate the best local score by considering all possible states for s_i and s_{i-1} . This is an $O(|\Omega|^2)$ operation.

How do we estimate scores?

$s_1, \dots, s_n \in \Omega$ and $w_1, \dots, w_n \in \Sigma$.

| | s_{i-1} | s_i/s_{i-1} | O | I-PER | I-ORG | I-MISC | I-LOC | B-ORG | B-MISC | B-LOC |
|--------|--------------------|---------------|------|-------|-------|--------|-------|-------|--------|-------|
| O | Score $_{i-1}$ (1) | O | 25.0 | 5.0 | 1.0 | -3.0 | -2.0 | -4.0 | -7.0 | -2.0 |
| I-PER | Score $_{i-1}$ (2) | I-PER | 6.0 | 23.0 | -9.0 | -7.0 | -15.0 | 0.0 | -1.0 | -1.0 |
| I-ORG | Score $_{i-1}$ (3) | I-ORG | 2.0 | -16.0 | 32.0 | -9.0 | -13.0 | -1.0 | -1.0 | 0.0 |
| I-MISC | Score $_{i-1}$ (4) | I-MISC | -4.0 | -9.0 | -11.0 | 23.0 | -2.0 | 0.0 | 6.0 | -1.0 |
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Figure 1: Score $_{i-1}$ (Left) and PScore $_i$ (Right)

- Score $_i(j) = \max_k \text{Score}_{i-1}(k) + \text{PScore}_i(j, k) \quad \forall j \in \{1, \dots, |\Omega|\}$

Caveats

Caveat 1: Features do not depend on states

| | s_{j-1} | | s_j |
|--------|-----------|--------|-------|
| O | C_{j-1} | O | C_j |
| I-PER | C_{j-1} | I-PER | C_j |
| I-ORG | C_{j-1} | I-ORG | C_j |
| I-MISC | C_{j-1} | I-MISC | C_j |
| I-LOC | C_{j-1} | I-LOC | C_j |
| B-ORG | C_{j-1} | B-ORG | C_j |
| B-MISC | C_{j-1} | B-MISC | C_j |
| B-LOC | C_{j-1} | B-LOC | C_j |

- Scores are constant across States

Caveat 1: Features do not depend on states

| | s_{j-1} | | s_j |
|--------|-----------|--------|-------|
| O | C_{j-1} | O | C_j |
| I-PER | C_{j-1} | I-PER | C_j |
| I-ORG | C_{j-1} | I-ORG | C_j |
| I-MISC | C_{j-1} | I-MISC | C_j |
| I-LOC | C_{j-1} | I-LOC | C_j |
| B-ORG | C_{j-1} | B-ORG | C_j |
| B-MISC | C_{j-1} | B-MISC | C_j |
| B-LOC | C_{j-1} | B-LOC | C_j |

- Scores are constant across States
- Which makes the prediction of states **Random!**

Caveat 2: Features only depend on the current state (s_j)

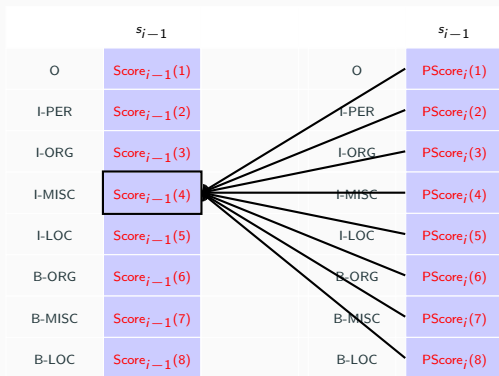
| | s_{j-1} | | s_j/s_{j-1} | O | I-PER | ... |
|--------|-------------------|--|---------------|-------------------|-------------------|-----|
| O | Score $_{j-1}(1)$ | | O | PScore $_j(1, 1)$ | PScore $_j(1, 2)$ | ... |
| I-PER | Score $_{j-1}(2)$ | | I-PER | PScore $_j(2, 1)$ | PScore $_j(2, 2)$ | ... |
| I-ORG | Score $_{j-1}(3)$ | | I-ORG | PScore $_j(3, 1)$ | PScore $_j(3, 2)$ | ... |
| I-MISC | Score $_{j-1}(4)$ | | I-MISC | PScore $_j(4, 1)$ | PScore $_j(4, 2)$ | ... |
| I-LOC | Score $_{j-1}(5)$ | | I-LOC | PScore $_j(5, 1)$ | PScore $_j(5, 2)$ | ... |
| B-ORG | Score $_{j-1}(6)$ | | B-ORG | PScore $_j(6, 1)$ | PScore $_j(6, 2)$ | ... |
| B-MISC | Score $_{j-1}(7)$ | | B-MISC | PScore $_j(7, 1)$ | PScore $_j(7, 2)$ | ... |
| B-LOC | Score $_{j-1}(8)$ | | B-LOC | PScore $_j(8, 1)$ | PScore $_j(8, 2)$ | ... |

Caveat 2: Features only depend on the current state (s_j)

| | s_{j-1} | | s_{j-1} |
|--------|--------------------|--------|-----------------|
| O | Score $_{j-1}$ (1) | O | PScore $_j$ (1) |
| I-PER | Score $_{j-1}$ (2) | I-PER | PScore $_j$ (2) |
| I-ORG | Score $_{j-1}$ (3) | I-ORG | PScore $_j$ (3) |
| I-MISC | Score $_{j-1}$ (4) | I-MISC | PScore $_j$ (4) |
| I-LOC | Score $_{j-1}$ (5) | I-LOC | PScore $_j$ (5) |
| B-ORG | Score $_{j-1}$ (6) | B-ORG | PScore $_j$ (6) |
| B-MISC | Score $_{j-1}$ (7) | B-MISC | PScore $_j$ (7) |
| B-LOC | Score $_{j-1}$ (8) | B-LOC | PScore $_j$ (8) |

- The PScore $_j$ reduces to a 1-D matrix.

Caveat 2: Features only depend on the current state (s_j)



- The PScore $_j$ reduces to a 1-D matrix.
- It is equivalent to a **greedy approach**.

Caveat 3: Features depend on more than 2 states ($s_i, s_{i-1}, \dots, s_{i-k}$)

- Adding dependency on far-away states (s_{i-k} for $k \geq 2$) changes

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 - Computational complexity to $O(n|\Omega|^{k+1})$

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- Adding dependency on far-away states (s_{i-k} for $k \geq 2$) changes
 - Computational complexity to $O(n|\Omega|^{k+1})$
 - Space complexity to $O(n|\Omega|^k)$

Caveat 3: Features depend on more than 2 states ($s_i, s_{i-1}, \dots, s_{i-k}$)

- Adding dependency on far-away states (s_{i-k} for $k \geq 2$) changes
 - Computational complexity to $O(n|\Omega|^{k+1})$
 - Space complexity to $O(n|\Omega|^k)$
- Hence, if $|\Omega| = m$, the matrix size to store the relevant scores for features dependent on (s_i, s_{i-1}, s_{i-2}) is $n \times m \times m$

Questions ?
